Online appendix

Causal mechanisms in civil war mediation:

Evidence from Syria

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1. Qualitative data sources

The below provides a non-exhaustive list of key data sources employed in the study. The great majority is publicly available material, but I have also relied on transcripts of non-public briefings that I received directly from UN officials or that were leaked by UN officials.

All sources that are cited or used for direct support of a proposition or conclusion are cited in the main text.

LAS/UN documentation

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United Nations (2012) Transcript of the press encounter with Head of UNSMIS Major General Robert Mood. Available at: https://peacekeeping.un.org/mission/past/unsmis/documents/general_robert_mood.pdf (accessed 10 June 2012)

United Nations (2012) Statement to the press by the Joint Special Envoy for Syria upon arrival in Damascus. Available at:

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United Nations (2012) Statement attributable to Head of UN Supervision Mission in Syria, General Robert Mood, 30 May 2012. Available at: https://peacekeeping.un.org/sites/default/files/past/unsmis/documents/press_statement_30052 012.pdf

United Nations (2012) Statement attributable to Head of UN Supervision Mission in Syria, General Robert Mood, 7 June 2012. Available at:

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United Nations (2012) Remarks to the press by the Head of the UN Supervision Mission in Syria, General Robert Mood. Available at:

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Syrian Government (2012) Non-paper answer of the Syrian Government to UN Envoy's sixpoint plan. Unpublished document. Available via: http://www.innercitypress.com/syria1answerICP031612.html (last accessed 20 January 2019)

United Nations Security Council Resolution 2042 (2012) including annex ["Six-point-plan"]

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United Nations (2012) Letter dated 10 August 2012 from the Secretary-General addressed to the President of the Security Council. S/2012/618.

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United Nations (2012) Letter dated 27 May 2012 from the Secretary-General to the President of the Security Council. S/2012/368.

United Nations (2012) Letter dated 25 May 2012 from the Secretary-General to the President of the Security Council. S/2012/363.

United Nations (2012) Letter dated 27 April 2012 from the President of the Security Council to the Secretary-General. S/2012/270.

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United Nations (2012) Letter dated 24 January 2012 from the Secretary-General addressed to the President of the Security Council [transmitting letter from the Secretary-General of the Arab League]. S/2012/71

United Nations (2012) Report of the Secretary-General on the implementation of Security Council resolution 2043. S/2012/523.

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Robinson GE. (2012) Syria's long civil war. Current History 111.

World Bank (2011) Country Data Report for Syrian Arab Republic, 1996-2011. Unpublished report.

Newspapers and electronic news sources

Contextual data-gathering was based on daily reading/searching of newspapers and electronic news sources, from the start of the conflict in 2011 through mid-2013. News alerts were set to keywords that included "Syria," "mediation," "Arab League," "United Nations," "al-Arabi," and "de Mistura" (including alternative spellings).

Besides news wires from major news agencies (Reuters, AFP), the majority of the contextual data were sourced from the below publications and online sites:

Al-Ahram (<u>http://english.ahram.org.eg/</u>) (Egypt)

Al-Jazeera (<u>https://www.aljazeera.com</u>) (Qatar)

Syrian Arab News Agency (<u>https://sana.sy/en/</u>) (Syria)

The Daily Star (<u>http://www.dailystar.com.lb/</u>) (Lebanon)

Al-Arabiya (http://english.alarabiya.net) (Saudi Arabia)

Haaretz (<u>http://www.haaretz.com/</u>) (Israel)

BBC (<u>http://www.bbc.co.uk</u>) (UK)

The Guardian (<u>https://www.theguardian.com/</u>) (UK)

The New York Times (<u>http://www.nytimes.com</u>) (US)

Interviews

- 1. LAS official 1, LAS Headquarters, Cairo, October 2012
- 2. LAS official 2, LAS London office, December 2013
- 3. LAS expert 1, American University Cairo, January 2013
- 4. LAS expert 2, American University Beirut, October 2012
- 5. UN/LAS expert, New York University, January 2013
- 6. UN official 1, Office of the Joint Envoy, November 2013
- 7. UN official 2, Office of the Joint Envoy, October 2013
- 8. UN official 3, Office of the Joint Envoy, December 2013
- 9. UN official 4, Office of the Joint Envoy, November 2012
- 10. UN official 5, Department of Political Affairs, UN Headquarters, New York
- 11. UN official 6, Department of Political Affairs, UN Headquarters, New York
- 12. UN official 7, UN Lebanon, Beirut, October 2012
- 13. UN official 8, UN Lebanon, Beirut, October 2012
- 14. UN official 9, UN Supervision Mechanism in Syria (UNSMIS), November 2012, November 2013
- 15. UN official 10, UN Syria, October 2012
- 16. Diplomat 1, Embassy of European country to Syria, Beirut, October 2012
- 17. Diplomat 2, Embassy of European country to Syria, Beirut, November 2012
- 18. Regional expert 1, Carnegie Middle East Center, Beirut, October 2012
- 19. Regional expert 2, Carnegie Middle East Center, Beirut, October 2012
- 20. Regional expert 3, American University Beirut, Beirut, October 2012
- 21. Regional expert 4, American University, Beirut, October 2012

2. Quantitative analysis

In the main article, I examine the effect of ceasefires on conflict intensity, as measured by the count of fatalities. The main article only reports key coefficients and statistical tests. Below, I report full regression models from which those coefficients are sourced. All models are based on de-seasonalized time series data. Table A3 and A4 reports fit statistics and deployment coefficients for the full range of ARIMA models tested.

	Dependent variable:			
	Daily fatalities			
	(1)	(2)	(3)	(4)
	LAS (1 month)	UN (1 month)	UN (2 months)	UN (3 months)
Time ³	0.002*	0.001*	0.001**	0.001
	(0.001)	(0.001)	(0.0004)	(0.0004)
Time ²	-0.11	-0.03	-0.02	0.002
	(0.07)	(0.05)	(0.04)	(0.04)
Time	1.62	0.11	-0.18	-0.57
	(1.21)	(1.01)	(0.85)	(0.92)
LAS deployment (first month)	-7.80			
	(8.44)			
UN deployment (first month)		-85.56***		
		(14.34)		
UN deployment (first 2 months)			-86.01***	
			(14.07)	
UN deployment (first 3 months)				-81.11***
				(13.31)
Constant	12.91**	18.59***	19.75***	21.43***
	(5.45)	(5.85)	(5.70)	(6.48)
Observations	317	421	452	482
R ²	0.08	0.34	0.34	0.40
Adjusted R ²	0.07	0.33	0.34	0.39
Residual Std. Error	25.11	33.52	33.49	33.51
F Statistic	6.51***	52.94***	58.27***	78.39***

Table A1: Effect of IO interventions on daily fatalities (OLS trend models)

Note: De-seasonalized data. Robust errors in parenthesis. Newey West estimator. p<0.1; "p<0.05; ""p<0.01

		Dependent variable: 		
	(5)	(6)	(7)	(8)
	LAS (1 month)	UN (1 month)	UN (2 months)	UN (3 months)
MA(1)	-0.60***	-0.84***	-0.85***	-0.83***
	(0.09)	(0.07)	(0.06)	(0.05)
MA(2)	-0.16			
	(0.10)			
LAS deployment (first month)	-15.4			
	(13.8)			
UN deployment (first month)		-72.9***		
		(28.0)		
UN deployment (first 2 months)			-71.8***	
			(26.1)	
UN deployment (first 3 months)				-74.8***
				(25.7)
Observations	120	121	151	181
Log lik.	-531	-637	-789	-939
AIC	1,070	1,280	1,584	1,883

Table A2: Effect of IO interventions on daily fatalities (ARIMA models)

Note: De-seasonalized data. Stationarity in time series was attained by differencing (d=1). *p<0.1; **p<0.05; ***p<0.01

ARIMA (p,q,d)	AIC	Log likelihood	Deployment coefficient
(1,0,0)	1081	-536	10.2
(1,0,1)	1081	-535	9.45
(2,0,0)	1082	-536	9.87
(0,0,1)	1086	-539	10.6
(1,1,0)	1089	-541	-19.4
(0,1,0)	1106	-551	-14.0
(0,1,1)	1071	-533	-15.5
(0,1,2)	1070	-531	-15.4
(1,1,1)	1070	-531	-15.6
(2,1,0)	1079	-536	-15.3

 Table A3: Fit statistics for alternative ARIMA models (LAS)

Table A4: Fit statistics for alternative ARIMA models (UN)

ARIMA (p,q,d)	AIC	Log likelihood	Deployment coefficient
(1,0,0)	1287	-640	-41.6***
(1,0,1)	1287	-639	-43.8***
(2,0,0)	1287	-639	-42.0***
(0,0,1)	1288	-640	-41.7***
(1,1,0)	1305	-650	-53.3
(0,1,0)	1336	-666	-13.0
(0,1,1)	1280	-637	-72.9***
(0,1,2)	1281	-637	-66.1***
(1,1,1)	1281	-637	-62.2**
(2,1,0)	1295	-643	-96.2***